

September 8, 2011

Mr. Richard W. Bertelson III Staff Attorney Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602

RECEIVED

SEP 1 2 2011

PUBLIC SERVICE COMMISSION

Re: Case No. 2008-0069

Dear Mr. Bertelson:

Enclosed is a copy of the safety audits performed at Shelby Energy from August 1, 2011 through August 31, 2011. This is done in accordance with Item 9 of the settlement agreement dated September 29, 2008.

Our records indicate this report should complete the requirement in Item 9 and will be the final time we forward the enclosed inspections.

If you have any questions or need further information, please feel free to contact me at (502) 643-2778 or by e-mail at jason@shelbyenergy.com.

Sincerel 1/2

Jason Ginn

V.P. of Operations & Engineering

RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Shane Bolin Date: 7-29-11

Position: Field Sup			Time: 12:21 pm		
Company: SEC			County:_	Bedford	
Address: 620 OIL Fin	chille Kd)	Location	:31773002	
- Shelloyville & K	y 40065	-	Name of	Contractor Observed:	
Phone#: 502-220	-7016			Kendell	
Weather Conditions: 940	F		Crew Me	mbers:	
Hot & Humid	9		Gar	y Ellis	
Job Description: Back	jard Tree		<u>San</u>	es Thomas	
fakedonn					
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat	/				
Safety glasses .					
Fall protection/harness					
Harness Attached to Boom					
Ear Plugs/ Ear Muffs	V				
Chaps	V				
Gloves	V				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs	V				
Flagman required/used					
Flag person properly equipped	d				
Traffic cones in place	,				
Trucks Grounded					
Truck Chocks Used					

	_				
Public Hazards Present					
Gaff Guards on Hooks	:/				
Notes/Comments:					
70 St. Maple t	nee /	Centra	brards	30 line.	
Service line +	o hous	re wit	hin 30	ff of wor	karea,
Service Ime + Remove	Tree.				<u> </u>
					•
Job Site Findings Discussed	With Crev	w: YES		NO	-
Corrective Actions Needed:	Yes	No			
If Corrective Actions Taken E	xplain In I	Detail:			
ii comodivo / tolicilo Talke					
Line Supervisor's Signature:	La	<u> </u>	Ello		
			` `		
Inspector's Signature:	\rightarrow		7/		
Safety & Loss Control Coord	inator Sig	nature:			-
			-		

No

Yes

Miscelaneous Observations

Shelby Energy Decals In Place N/A



Inspector: Shane Bolin			Date: 7-29-((
Position: Field Sup			Time:	12:14pm	
Company: SEC			County:_	Bed Gord	
Address: 620 Old Finel	ville Rol		Location	: 31773002	
Shellyville, Ky 40	306 <u>\$</u>		Name of	Contractor Observed:	
Phone#: 502-220-7	516			Kendall	
Weather Conditions: 940	<u> </u>		Crew Me	mbers:	
Hot & Hun	ni d		Low	ue O'banion	
Job Description: Bucky	and tree		_A.	5. Cobh	
take down			Sh	are Callam	
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat					
Safety glasses					
Fall protection/harness					
Harness Attached to Boom					
Ear Plugs/ Ear Muffs					
Chaps					
Gloves					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs					
Flagman required/used					
Flag person properly equipped	d				
Traffic cones in place					
Trucks Grounded					
Truck Chocks Used					

Public Hazards Present	i/						
Gaff Guards on Hooks							
Notes/Comments:							
70 ft. Maple	free /e	Pany to	vards	30 live			
70 ft. Maple Service line	to how	e with	um 3	off of wo	rka.eg.		
Plenore fre	<u> </u>						
					•		
Job Site Findings Discusse	d With Crew	: YES		NO			
Corrective Actions Needed:	Yes	No 2					
		,,					
If Corrective Actions Taken	Explain In D	etail:					

	a (•	Λ				
Line Supervisor's Signature	: Konni	16BC	nier				
Inspector's Signature:		B			- Alexandra		
			1	<u> </u>			
Safety & Loss Control Coor	dınator Sign	ature:	00-1				
		//					
	/	//					
	/.						

Miscelaneous Observations

Shelby Energy Decals In Place Yes

No

N/A

Inspector: Shave Bolin			Date: 8-1-11		
Position: Field Sc	P		Time:	11:28 Am	
Company: SEC	•		County:_		
Address: 620 Of Enchai	lle RD		Location	: 8584 Aiken Rd	
_ Shellsynille KY	40065		Name of	Contractor Observed:	
Phone#: 502-220-70	16			uda	
Weather Conditions: 72°	Saring		Crew Me	mbers:	
Job Description:			*	long	
Rowcufus of 30 +1	Double tag)	Mik	2	
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat	1/				
Safety glasses	V				
Fall protection/harness	~				
Harness Attached to Boom	V				
Ear Plugs/ Ear Muffs	V				
Chaps					
Gloves					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs					
Flagman required/used			_/_		
Flag person properly equipped	d		/		
Traffic cones in place					
Trucks Grounded	V.				
Truck Chocks Used	·/				

			1 377 1		
Shelby Energy Decals					
In Place					
Public Hazards Present		***************************************			
Gaff Guards on Hooks	i				
Notes/Comments:	1.				
Bodar tre Nemaral of wood.					ove
Job Site Findings Discussed Corrective Actions Needed: If Corrective Actions Taken	Yes	No _ <i>L</i>	_	NO	
Line Supervisor's Signature	:Bujar	Jun			
Inspector's Signature: Safety & Loss Control Coord		nature:	Ton 1		-
• =====================================			<i>P</i>		

Miscelaneous Observations

Yes

No

N/A

Inspector: Shanc Bolin			Date: 8 - 1 - (1		
Position: Field Sup			Time: 11:24am		
Company: SEC			County:_	·	
Address: 620 Old Fine	heille Vel	7	Location	: 8584 Aiken Rol.	
Shelbyville, K	4 40065		Name of	Contractor Observed:	
Phone#: 502-220-	7016			(anda	
Weather Conditions: <u> </u>	Sunny	-	Crew Me		
Job Description:			A-	Survice	
Row cutting of 30	J. Darbk.	tap		-ne	
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat					
Safety glasses					
Fall protection/harness	/				
Harness Attached to Boom					
Ear Plugs/ Ear Muffs					
Chaps					
Gloves					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs	V		·		
Flagman required/used					
Flag person properly equipped	1				
Traffic cones in place	V				
Trucks Grounded					
Truck Chocks Used					

Wilscelatieous Observations	162	140	IWA	II NOCorrective Action Take
Shelby Energy Decals				
In Place				
Public Hazards Present			,	
Gaff Guards on Hooks				
				AND THE RESIDENCE OF THE PROPERTY OF THE PROPE
Notes/Comments:				
3 ceder frees	in R	all A	1 Doul	ngh and
Removal of -	the se d	rels	اط صدیای	rush and
1		· · · · · · · ·		
Comove hond	*			
7000				•
Job Site Findings Discussed	With Crow	. VEC		NO
Job Site Findings Discussed	AAITH CIEW	. IES		NO
		A1		•
Corrective Actions Needed:	Yes	_ No <u>~</u>		
If Corrective Actions Taken E	xplain In C	etail:		
	_			
Line Supervisor's Signature:		@B		
Line Supervisor's Signature.	1 Grina	e CHO	my	
			_	
		(./	<u>_`</u>	
Inspector's Signature:	\longrightarrow			Λ
Safety & Loss Control Coord	inator Sign	ature: عر	for'	
			٠	
		//		
		U		

Miscelaneous Observations

Yes

No

N/A



Inspector: Nave C)00/dn		Date:	8-2-11
Position: Field Sw	P		Time:	9:52 Am
Company: SEC			County:	Shelby
Address: 620 Old Find	rille, Rd			: 34145102
Shelbquille, Ky	40065		Name of	Contractor Observed:
Phone#: 502-220-	7016			on send
Weather Conditions:		-	Crew Me	mbers:
95° Sunny of Ho	+		Ton	my Parrish
Job Description: Trimur	3 t		To	my Parrish m Kennedy
Remong Trees &	on 30			/
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat				
Safety glasses	i v			
Fall protection/harness				
Harness Attached to Boom				
Ear Plugs/ Ear Muffs				
Chaps				
Gloves				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required/used			V	
Flag person properly equipped	1			
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used				
		i		

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taker
Shelby Energy Decals				
In Place				
Public Hazards Present			<i>'</i>	
Gaff Guards on Hooks				
Notes (Comments:				
Notes/Comments:				
Topianne + Ro	man i Si a	Tree	S 11.00	<u> 38</u>
(11,11,11)	-1100110	3	<u> </u>	
				•
Job Site Findings Discussed	With Crev	w: YES	<u> </u>	NO
_				
Corrective Actions Needed:	Yes	No_೬		
If Corrective Actions Taken E	Explain In	Detail:		
			/	
	1	· +) · /,	
Line Supervisor's Signature:	1000	2my 1	m/	449 / 450 /
			~ r	
la anno ataula Ota atau			Y	
Inspector's Signature:	\leftarrow	LU		
				\wedge
Cafata O I A to I A I	inatas Ci-			
Safety & Loss Control Coord	inator Sig	nature:		
Safety & Loss Control Coord	inator Sig	nature:		
Safety & Loss Control Coord	inator Sig	nature:	<u> </u>	



Inspector: Jason Gimi	√	Date: 8-//-//			
		Time:	10:30 gm		
Position: V.P. of Open	+ Eug			Trimble Co	
	——————————————————————————————————————			: River view Br	
Company: SEC			Crew Me		
Address: 620 Old Fine	hville Rl		11		
Shelbyville Ky 400	65		Mike	Clark Gary Worldord	
Shelbyville Ky 400 Phone #: 102-643-2	178			1	
			Michel	/ Nethery	
Weather Conditions: <u>รีนท</u>	ns 85°			/ /	
	7		James	Grune	
			4 /	1 / /	
	1		Kelly	Michaels	
Job Description: Setting Poles + putting 1. G.	New		~ /		
Poles toutting	1'1		Bendi	Bohannon	
(). (;	<u></u>			1 / /	
			14/2/	Wolkeren	
		/			
Energized Work Being Pre	formed: YES	s V	NO	Truck#'s $\frac{4}{3} + 42$	
Overhead Under	around	V	oltage _7	200	
	<u></u>				
Job Briefing	Yes /	No	N/A	If NoCorrective Action Taken	
Conducted before job & Sign	ed V				
Job procedure covered					
Energy control procedure	10				
PPE used	W/				
Job hazards	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\				
Emergency procedures	V /				
Special precautions					
Work Area Protection	Yes /	No	N/A	If NoCorrective Action Taken	
Appropriate work signs					
Flagman required			V/		
Flag person used			0/		
Flag person properly equippe			V		
Traffic cones in place	V /				
Trucks Grounded	V				
Truck Chocks Used					
Personal Protective Grounds			V		

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken		
Hard hat						
Safety glasses						
Rubber gloves						
Rubber sleeves						
FR Clothing	//					
Fall protection						
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken		
Gloves	500d 1					
Sleeves	100 C					
Line hoses	1000					
Blankets	and a					
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No						
If Corrective Actions Taken	Explain In	Detail:				
Line Supervisor's Signature): <u>/ / /</u>		K.			
Inspector's Signature:	las &)	1//			
Safety & Loss Control Coor	dinator Sig	nature:				



Inspector: J. GiNN	with the control of t		Date: 6	2-15-11
Position: V.P. of Opers	t En		County:	0130 to 1:30 Shelby Bohannon LN
Company: SEC			Crew Men	
Address: 620 Old Fin	chville			
			Mike	Clark
Phone #: 502-6-13-277	8			***************************************
Weather Conditions: Sanny	_	-		Raisor Bohannon
			. 3	
Job Description: Change 1 + Cross Arms + Re Sag 3 8 Fr Show	or-			Seott Warford
Energized Work Being Prefo	rmed: Y		NO	_ Truck#'s <u>#9</u> +3∂
Job Briefing	Yes	No	N/A	If NoCorrective Action Taker
Conducted before job & Signe	d 🗸			
Job procedure covered				
Energy control procedure				
PPE used	V			
Job hazards	V _			
Emergency procedures				
Special precautions				
Work Area Protection	Yes	No	N/A 🥕	If NoCorrective Action Taker
Appropriate work signs			V	
Flagman required			V/	
Flag person used			V	
Flag person properly equipped	1		V	
Traffic cones in place			Y	
Trucks Grounded	/		W	
Truck Chocks Used	V)			
Personal Protective Grounds				

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	1			
Safety glasses	Book			
Rubber gloves			B. Carrier of the Car	
Rubber sleeves	/			
FR Clothing	V/			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves				
Sleeves				
Line hoses			W	
Blankets				

Notes/Comments: Crew Made it into Cross Country of
Changed tole from Storm Damage
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature:
Inspector's Signature:
Safety & Loss Control Coordinator Signature:



Inspector: 1. Ginn			Date:	3-15-11
	AND THE RESERVE AND THE PROPERTY OF THE PROPER		Time: 8	
Position: V.P. Oper + Eng			County:	A STATE OF THE PROPERTY OF THE
				1: Safet, Kleen
Company: SEC			Crew Me	•
Address: 620 Old Finch	ville Rol		Λ	
Address: 620 Old Finch			Chi	o Wheeler
Phone #: 502 - 643 - 27	<u></u>		6.4	/ . / . /
			Micha	e/ Nethery
Weather Conditions: Cloud Slight Breeze	y 75°			
Slight Breeze	/		Jame	s Crume
			01	, (
			Kicha	ed Spoonamore
Job Description: Replacing	6			
ald cutouts +	1 cess brs			
Feeline Factor 47/	Neus			
Load Breek + Poly	Accesetion			
Louis Isleet A 7010 A	<u> </u>	/		.1
Energized Work Being Prefe	ormod: VE	e V	NO	_ Truck#'s <u>#22+</u> #33
Lifergized Work Being Fren	Jillea. IL	.5	140	ITUCK#S
Overhead V Underg		′ ,	/oltage <u>/</u>	200
Overnead Underg	ground <u> </u>		/oitage	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taker
Conducted before job & Signe				***************************************
Job procedure covered				
Energy control procedure	//			
PPE used				
Job hazards				
Emergency procedures				
Special precautions				
Work Area Protection	Yes	No	N/A,	If NoCorrective Action Taker
Appropriate work signs				
Flagman required				
Flag person used			+ /	
Flag person properly equippe	d		1./	
Traffic cones in place	Ī		+ 1/1/	
Trucks Grounded				
Truck Chocks Used	/			
Personal Protective Grounds	/			
i. c. conan i i conino ci canao			1	,

-Corrective Action Taken

Notes/Comments: Craw Dropped Load + open Go'S to Replace.
Good Team Effort By All employees
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature: hip Whule
Inspector's Signature:
Safety & Loss Control Coordinator Signature:

CONTRACTOR FIELD INSPECTION FORM

Inspector: J. Ginn			Date: 8	15-11
Position: V.P. of Oper +	Eng		County:	5/30 - /:30 Shelby
	7		Location	: Bohannon Rd
Company: SEC			Crew Me	mbers:
Address:			.1.1	/,
			Mik	e Kayton
Phone #:				· Smith
Weather Conditions: کوم	, 85°		ACTIVITIES AND A STATE OF THE PARTY OF THE P	Gilbert
Job Description: Change 10 X Arms GFF Po	Broken 1e			
Overhead Under	ground	v	oltage <u>7</u>	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Sign	ea / /			
Job procedure covered	 			
Energy control procedure	 		 	
PPE used	 			
Job hazards	 			
Emergency procedures Special precautions	 	· · · · · · · · · · · · · · · · · · ·		
Work Area Protection	Yes	No	N/A/	If NoCorrective Action Taken
Appropriate work signs	+			II NO GONGGUIO NOUGH TURCH
Flagman required				
Flag person used			 	
Flag person properly equippe	-d		 / 	
Traffic cones in place	, <u>a</u>		1	
Trucks Grounded			+ /	
Truck Chocks Used	+//			
D 10000 0360	+ - / -		 	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat				
Safety glasses	/			
Rubber gloves			1	
Rubber sleeves				
FR Clothing	$\overline{}$			
Fall protection			V	
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves				
Sleeves			V	
Line hoses				
Blankets	-			
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place				
Proper Clearances				
Rolling Grounds in Place			W/	
Public Hazards Present				
V. 2004 A COUNTY OF THE PROPERTY OF THE PROPER				
Brok		Tool -	Job	A Replaced 2
Job Site Findings Discussed Corrective Actions Needed: If Corrective Actions Taken	d With Cre	w: YES		NO
Line Supervisor's Signature	:			
Inspector's Signature: Safety & Loss Control Coord	dinator Sig	nature:_	form.	



Inspector: 1. GINN			Date:	18-11
			Time: /C	0:30 →
Position: V. P. Oper +	Ens		***************************************	Teimble
•	•		Location	: River View Drive
Company: The lay Energy Address: 620 Old Finel	!		Crew Me	
Address: 420 OH Fine	Luille Rol			
SLe by 11 le ky 40065 Phone #: 502 - 643 - 2			Mika	Clark Michael Ne thery
Phone #: 502 - 643 - 3				
			Chipl	Wheeler Benj. Bohanso
Weather Conditions: 5 cnn	, 87°			, .
			Nei/	Raisel Tim Wolpert
			700	Scott Gary Warford
\mathcal{D}	1 .		<u>Jett</u>	32011 Oct 7 Voca 1010
Job Description: Ke-Condu	actoring		PI	ed Spanamore Tyler Working
Single Phase Line			1. Chai	1 year working
			1/ //	Michaels
			/	_ Truck#'s_#30-#33 # 22# 13
Energized Work Being Prefe	ormed: YE	:S	NO_/	_ Truck#'s <u> </u>
	_	_		72 50
Overhead Underg	jround	\	/oltage	1200
Lab Driefin	V ₂	N.	T NI/A	If No. Corrective Action Tolera
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	,			
Job procedure covered	/			
Energy control procedure	/			
PPE used		***************************************		
Job hazards	V		<u> </u>	
Emergency procedures	V /			
Special precautions	1			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	V .			
Flagman required	8			
Flag person used				
Flag person properly equippe	d			
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used	1//	:	†	
Personal Protective Grounds				

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V ,			
Safety glasses				
Rubber gloves			6	
Rubber sleeves			V	
FR Clothing	/ /			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves			V	
Sleeves			V	
Line hoses			V ,	
Blankets				
51827	400K, m	709	7.	
Job Site Findings Discussed			_	NO
Corrective Actions Needed:	Yes	No _ <i>u</i>		
If Corrective Actions Taken	Explain In	Detail:		
	1000	7 -	1	

Line Supervisor's Signature:

Inspector's Signature:

Safety & Loss Control Coordinator Signature: